

Form CRS (Customer Relationship Summary) As Amended March 15, 2021

<p>Introduction</p>	<p>VectorGlobal WMG Inc. (WMG) is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). WMG’s affiliate, VectorGlobal IAG, Inc. (IAG), is registered with the SEC as an investment adviser. WMG and IAG (VectorGlobal, we, our or us) jointly offer this document to customers of either or both entities. Registration with the United States Securities and Exchange Commission does not imply a certain level of skill or training. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research different firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.</p>	
<p>What investment services and advice can you provide me?</p>	<p>We offer both brokerage and investment advisory services to retail investors.</p> <p>If you open a brokerage account with us, you will pay a transaction-based fee generally referred to as a commission, every time you buy or sell an investment. You may select investments, or we may recommend investments for your account, but the ultimate investment decision for your investment strategy and the purchase or sale of investments will be yours. We require a minimum investment of \$100,000 to open an account, however this minimum can be waived in certain circumstances.</p> <p>We can offer you additional services to assist you in developing and executing your investment strategy, but you might pay more. In a brokerage account we do not provide account monitoring as a service, but we will review your portfolio whenever we make a recommendation to ensure we act in your best interest. We will deliver account statements to you monthly or quarterly in paper form or electronically.</p> <p>We offer a wide selection of investments which can be found on our website: www.vectorglobalwmg.com.</p>	<p>If you open an advisory account with us, you will pay an ongoing asset-based fee for your services, plus fees per each transaction. For advisory accounts, we will offer you advice on a regular basis. We will discuss your investment goals and monitor your account daily. We will contact you by phone or email and discuss your portfolio. We offer discretionary accounts and held-away account services, which allow us to buy and sell investments in your account without asking you in advance, as well as non-discretionary accounts, for which we give you advice and you decide which investments to buy and sell.</p> <p>Our standard minimum investment on advisory accounts is \$500,000. We do, however, offer model portfolios with a minimum investment of \$100,000.</p> <p>Our investment advice will cover various selections of investments depending on the elections you make, some of which might have high or lower costs. You can find these selections on our website: www.vectorglobaliag.com</p>
<p>Ask your financial professional:</p> <p><i>Given my financial situation, should I choose an investment advisory service? Should I choose a brokerage service? Should I choose both types of services? Why or why not?</i></p> <p><i>How will you choose investments to recommend to me?</i></p> <p><i>What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?</i></p>		

What fees will I pay?

Transaction-based fees. You will pay us a fee every time you buy or sell an investment. This fee, commonly referred to as a commission, is based on the specific transaction and not the value of your account. With stocks or exchange-traded funds, this fee is usually a separate commission. With other investments, such as bonds, this fee might be part of the price you pay for the investment (called a "**mark-up**" or "**mark-down**"). With mutual funds, this fee (typically called a "load") reduces the value of your investment.

Some investments (such as mutual funds) impose additional fees that will reduce the value of your investment over time. Retail investors may also be required to pay fees when certain investments are sold (e.g., contingent deferred sales charges ["CDSC"] on certain classes of mutual funds). A list of our fees can be found on our website:

www.vectorglobalwmg.com

The amount you pay will depend on how much you buy or sell, what type of investment, and what type of account you have with us. A *retail investor* would be charged more when there are more trades in his or her account. We therefore have an incentive to encourage you to engage in transactions. As indicated on our website, your account may incur other costs, such as account maintenance fees or inactivity fees.

From a cost perspective, you may prefer a transaction-based fee if you do not trade often or if you plan to buy and hold investments for longer periods of time.

To learn more, please visit:

vectorglobalwmg.com/wp-content/uploads/fee-schedule-english.pdf

Asset-based fees. We charge asset-based fees and performance fees on advisory accounts. You will pay an on-going fee at the beginning of each quarter based on the value of the cash and investments in your advisory account. Our standard rates on advisory accounts are 1% - 2.5%. **Note: an advisory fee above 2% is higher than that charged in the industry and other advisers can provide the same or similar services at a lower rate.**

The amount paid to VectorGlobal and your representative generally does not vary based on the type of investments we select on your behalf. The asset-based fees deducted from your account reduce the value of the account. Our fees vary and are negotiable. Some investments (such as mutual funds) impose additional fees to sell the investment and what type of account you have with us. For all accounts, you will pay a transaction fee when we buy and sell an investment for you. You will also possibly pay fees to our custodian that will hold your assets (called "custody"). The more assets a *retail investor* has in their advisory account, including cash, the more they will pay us. We therefore have an incentive to increase the assets in your account in order to increase our fees. You pay our fee quarterly even if you do not buy or sell. An asset-based fee may cost more than a transaction-based fee, but you may prefer an asset-based fee if you want continuing advice or want someone to make investment decisions for you.

For additional information, please reference our Form ADV (part 1A, item 5) available at:

<https://reports.adviserinfo.sec.gov/reports/ADV/147129/PDF/147129.pdf>

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Ask your financial professional:

Help me understand how these fees and costs might affect my investments. If I give you \$100,000 to invest, how much will go to fees and costs, and how much will be invested for me?

<p>What are your legal obligations to me when providing recommendations as my broker-dealer or when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?</p>	<p><i>When we provide you with a recommendation as your broker-dealer or act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations and investment advice we provide you. Here are some examples to help you understand what this means.</i></p> <ul style="list-style-type: none"> • We generally make money if you borrow against the securities in your account by way of a margin loan or a non-purpose loan. This is due to the spread between the rate you pay to the custodian for the loan and the base rate that the custodian charges us for the money lent to you. • We make money from each securities transaction in your account due to service fees generated by each trade confirmation. We therefore have a financial incentive to generate a high volume of transaction in your account. • VectorGlobal has a possible conflict of interest due to its sub-advisory relationship with Seaview Investment Managers LLC (“Seaview”) arising from Ms. Claudia Batlle’s dual role as a control person for both VectorGlobal and Seaview. • There is also a conflict of interest arising from our relationship with Seaview because IAG shares revenue with Seaview. This arrangement could impact our decision to open a brokerage or investment advisory account for a given client. 	
	<p>Additional legal and regulatory disclosures for VectorGlobal WMG Inc. may be found on our website: https://www.vectorglobalwmg.com/legal-regulatory-disclosures/</p>	<p>For additional information, including conflicts of interest and other disclosures for VectorGlobal IAG, Inc., see our Form ADV (part 1A, item 5) available at: https://reports.adviserinfo.sec.gov/reports/ADV/147129/PDF/147129.pdf</p>
	<p>Ask your financial professional: <i>How might your conflicts of interest affect me, and how will you address them?</i></p>	
<p>How do your financial professionals make money?</p>	<p>Our financial professionals that manage brokerage accounts receive variable compensation based on a percentage of the net commissions and loan revenues generated by their clients, with many of them receiving a base salary considered to be an advance on this variable compensation. Our financial professionals that service investment advisory accounts receive variable compensation based on a percentage of the asset-based fees and performance fees deducted from the accounts of their clients. Some of our financial professionals manage both brokerage and investment advisory accounts, and therefore receive variable compensation from both activities.</p> <p>Some of our financial professionals are also eligible to receive additional compensation in the form of forgivable loans. The amounts paid out on these forgivable loans is a percentage based on the new assets that the financial professional is able to bring to us from another financial institution.</p> <p>These compensation structures can create conflicts of interest in that they can potentially incentivize recommendations for one type of account over the other or focusing on bringing new accounts to us in the interest of their own compensation rather than the best interest of their clients. To mitigate this potential conflict of interest, VectorGlobal has supervisory policies and procedures in place to guide advisors and their clients toward the most appropriate offering for their needs.</p>	

<p>Do your financial professional have legal or disciplinary history?</p>	<p>Yes. We and some of our financial professionals have this history.</p> <p>Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.</p> <p>Ask your financial professional: <i>As a financial professional, do you have any disciplinary history? For what type of conduct?</i></p>
<p>Additional information</p>	<p>For additional information about our brokers and services, visit:</p> <ul style="list-style-type: none"> • brokercheck.finra.org • our website (vectorglobalwmg.com), or • review your account agreement. <p>For additional information on our advisory services, see:</p> <ul style="list-style-type: none"> • our Form ADV brochure on the IAPD website: https://reports.adviserinfo.sec.gov/reports/ADV/147129/PDF/147129.pdf • our website (vectorglobaliaq.com), and • any brochure supplement a financial professional provides. <p>To request additional, up-to-date information or a copy of this disclosure, please call our main office at +1 (305) 350-3350 or toll free at +1 (877) 350-7494.</p> <p>You can also view and download a copy of our Form CRS at: https://www.vectorglobalwmg.com/wp-content/uploads/form-crs.pdf</p> <p>Ask your financial professional: <i>Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?</i> <i>Who can I talk to if I have concerns about how this person is treating me?</i></p>